



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Han-Ping Chen, et al.

Serial No.: 10/822,505

Filed: April 12, 2004

For: Method for Forming Self-Aligned  
Poly for Embedded Flash

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Docket Number: 24061.226 / 2003-1180

Examiner: To Be Determined

Art Unit: 2812

Conf. No.: 3049

Mail Stop Missing Parts  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**  
**FILING DATE GRANTED**

Dear Sirs:

In response to the Notice to File Missing Parts of Nonprovisional Application (Filing Date Granted) mailed June 23, 2004, enclosed are the following:

1. Return Copy of Notice to File Missing Parts of Nonprovisional Application;
2. Executed Inventor's Declaration for Patent Application;
3. Supplemental Application Data Sheet;
4. Petition for One-Month Extension of Time;
5. Check in the amount of \$240.00; and
6. return receipt postcard.

Enclosed is a check in the amount of \$240.00 for the surcharge fee of \$130.00 and one-month extension fee of \$110.00. The Commissioner is hereby authorized to charge any deficiency fees or credit any overpayments associated with this communication to Deposit Account No. 08-1394 of Haynes and Boone, LLP. **This form submitted in duplicate.**

Respectfully submitted,

*T. F. Bliss*

Timothy F. Bliss  
Registration No. 50,925

Date: 9/23/04

HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202  
Telephone: 972-739-8638  
Facsimile: 214-200-0853

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

*Dayle Conner*  
Name

*9-23-04*  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/822,505	04/12/2004	Chung-Yi Yu	24061.226 (TSMC2003-1180)

27683  
 HAYNES AND BOONE, LLP  
 901 MAIN STREET, SUITE 3100  
 DALLAS, TX 75202



CONFIRMATION NO. 3049

## FORMALITIES LETTER



\*OC000000013037891\*

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

09/28/2004 JBALINAN 00000014 10822505

P.O. Box 1450

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130.00 0P Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

M-WAIVE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE